



Docket No.: 50023-132

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hideyuki KUWANO, et al.

Serial No.: 09/768,213

Filed: January 24, 2001

For: INTEGRATED APPARATUS AND SYSTEM FOR STORING, RETRIEVING, AND  
TRANSMITTING DOCUMENTS USING DOCUMENT IDS AND DOCUMENT ID  
MARKS

:  
:  
:  
Group Art Unit: 2176

: Examiner:

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Applicants names' are listed incorrectly on the Filing Receipt. Attached is a copy of the Declaration and Power of Attorney, which evidences that the Applicants names' should be listed as: **1. Hideyuki KUWANO, 2. Yuji OKADA, 3. Naoki TAKAHASHI, 4. Kenji HISATOMI, 5. Takehito YAMAGUCHI, and 6. Kazuyuki MURATA.**

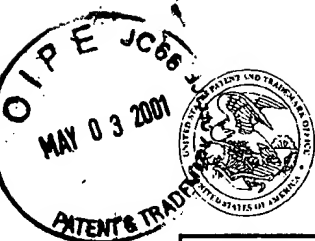
It is requested that a corrected Filing Receipt be issued.

Respectfully submitted,

MGDERMOTT, WILL & EMERY

Stephen A. Becker  
Registration No. 26,527

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 SAB:prp  
**Date: May 3, 2001**  
Facsimile: (202) 756-8087



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/768,213	01/24/2001	2176	0.00	50023-132	40	56	14

RECEIVED

MAR 12 2001

MCDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096

McDermott, Will &amp; Emery

CONFIRMATION NO. 2306

FILING RECEIPT



\*OC000000005841844\*

Date Mailed: 03/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- 4 Kenji Hisatomi, Residence Not Provided;
- 1 Hideyuki Kuwano, Residence Not Provided;
- 3 Naoki Takahashi, Residence Not Provided;
- 5 Takehito Yamaguchi, Residence Not Provided;
- 2 Yuji Okada, Residence Not Provided;
- 6 Kazuyuki Murata, Residence Not Provided;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/034,999 03/05/1998 ABN

## Foreign Applications

JAPAN 9-49993 03/05/1997  
JAPAN 9-49989 03/05/1997  
JAPAN 9-49990 03/05/1997  
JAPAN 9-49992 03/05/1997  
JAPAN 9-51336 03/06/1997

If Required, Foreign Filing License Granted 03/08/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Integrated apparatus and system for storing, retrieving, and transmitting documents using document IDs and document ID marks

**Preliminary Class**

707

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**Data entry by :** GEREMEW, FIKIRTE

**Team :** OIPE

**Date:** 03/08/2001





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SECRET #3

PATENT

Docket No.: 50023-132

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of

Hideyuki KUWANO, et al.

Serial No.: 09/768,213

Group Art Unit: 2176

Filed: January 24, 2001

Examiner:

For: INTEGRATED APPARATUS AND SYSTEM FOR STORING, RETRIEVING, AND TRANSMITTING DOCUMENTS USING DOCUMENT IDS AND DOCUMENT ID MARKS

**RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated March 8, 2001, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$710.00
3. Late Filing Fee Surcharge of \$130.00
4. Additional Claims fee of \$1,528.00
5. Assignment
6. Request for Corrected Filing Receipt.

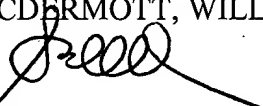
Please charge Deposit Account No. 500417 in the amount of \$2,368.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

09/768,213 .

shortage in fees due in connection with the filing of this paper, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Stephen A. Becker  
Registration No. 26,527

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 SAB:prp  
**Date: May 3, 2001**  
Facsimile: (202) 756-8087

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/768,213	01/24/2001	Kenji Hisatomi	50023-132

CONFIRMATION NO. 2306

FORMALITIES LETTER



\*OC000000005841845\*

MCDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096

Date Mailed: 03/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1528.
  - \$648 for 36 total claims over 20.
  - \$880 for 11 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2368.

*A copy of this notice MUST be returned with the reply.*

*Gerome* Gerome

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/07/2001 MAED11 00000051 500417 09768213

01 FC:101 710.00 CH  
02 FC:105 130.00 CH  
03 FC:102 880.00 CH  
04 FC:103 648.00 CH